Attorney Docket No.: RP1-3014-U

### CERTIFICATE OF HAND DELIVERY

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being delivered to Examiner JAMES MCALEENAN, Group 3700, Group Art Unit 3748 at the U.S. Patent and Trademark Office, Arlington, Virginia 22202 on the \_\_\_\_ day of November, 2004.

Signature Print Name

#### IN THE UNITED STATES

#### PATENT AND TRADEMARK OFFICE

Confirm. No.

1115

Applicant

SHAWN P. LAWLOR

MARK, A. NOVARESI, AND CHARLES C. CORNELIUS

Serial No.:

10/672,719

Filed:

September 25, 2003

Art Unit:3748

Examiner:

JAMES MCALEENAN

Title:

SUPERSONIC GAS COMPRESSOR

Commissioner for Patents Alexandria, VA 22313-1450

# TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))

Sir:

The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of the application or before the mailing date of a first Office Action on the merits, whichever event occurs last.

Date: November 15, 2004

SIGNATURE OF ATTORNEY

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CUSTOMER NO.: 20793

#### IN THE UNITED STATES

### PATENT AND TRADEMARK OFFICE

Confirm. No. 1115 SHAWN P. LAWLOR Applicant MARK, A. NOVARESI, AND CHARLES C. CORNELIUS Serial No.: 10/672,719 Filed: September 25, 2003 Art Unit:3748 Examiner: JAMES MCALEENAN Title: SUPERSONIC GAS COMPRESSOR Commissioner for Patents Alexandria, VA 22313-1450 INFORMATION DISCLOSURE STATEMENT Sir: The following sections are being submitted for this Information Disclosure Statement:  $\boxtimes$ 1. Preliminary Statements. 2. Time of Filing. FORMS PTO/SB08a and 08B (formerly FORM PTO 1449). 3. 4. Identification of Prior Application in Which Listed Information was Already Cited and for Which No Copies Are Submitted or Need to be Submitted. 5. Cumulative Patents or Publications. 6. Copies of Listed Information Items Accompanying This 7. Translation(s) of Non-English Language Documents. Identification of Person(s) Making This Information Disclosure Statement. CERTIFICATE OF HAND DELIVERY I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being HAND DELIVERED to EXAMINER JAMES MCALEENAN, Group 3700, Group Art Unit 3745 at the U.S. Patent and Trademark Office, Arlington, Virginia, on November , 2004. Typed or printed name:

Date

11/

/04

Signature

# 1. Preliminary Statements

Applicant submits herewith patents, publications and other information of which he is aware which he believes may possibly be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR  $\S 1.197(g)$ ), an admission that the information cited is, or is considered to be, material to patentability, (37 CFR  $\S 1.97(h)$ ) or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

If documents are disclosed that, based on date of publication, may not be prior art to the applicant, then such publications are merely offered for consideration with respect to the state of development of the art, and shall in no way be considered as admissions against interest in any manner.

# 2. Time of Filing

This Information Disclosure Statement is filed before the mailing date of the first office action on the merits. 37 CFR  $\S$  1.97(b)(3).

3. Forms PTO/SB/08A and 08B (formerly Form PTO 1449)

See attached PTO Form SB-08A - Citation in Application.

4. Identification of Prior Application In Which Listed Information Was Already Cited and for Which No Copies are Submitted or Need to be Submitted.

This application relies, under 35 USC § 120, on the
earlier filing date of prior application ,
filed on .
This application also relies, under 35 USC sec 120, on
the earlier filing date of prior application
, filed on .
Therefore, references which were submitted to, and/or
cited by, the Office in the prior application(s) are
not required to be provided in this application, in
accord with 37 C.F.R. 1.98 (d).

	Thiomey Booker No. 1d 1-5014-0
5. Cur	nulative Patents or Publications
	Statement is cumulative of the following patents or publications listed on Forms PTO/SB/)8A and 08B (formerly PTO-1449).
	In accordance with 37 CFR § 1.98(c), a copy of only is being submitted with this Information Disclosure Statement.
6. Cop Sta	ies of Listed Information Items Accompanying This tement
08R (IO	ible copies of all items listed in Forms PTO/SB/08A and rmerly Form PTO 1449) accompany this Information re Statement.
	Exceptions to the above:  Items which were disclosed in the prior application, from which an earlier filing date is claimed for this application, as identified in Section 4; such items not submitted are marked by an "*" asterik on PTO Form SB/08A (formerly PTO Form 1449).  Cumulative patents or publications identified in Section 5.
7. Tran	nslation(s) of Non-English Language Documents
the posse	nch Patent 863484 is not translated into the English A written English language translation is not within ession, custody or control of any individual designated in 1.56(c).
8. Ider	tification of Person(s) Making This INFORMATION LOSURE STATEMENT
inventors	person making this statement is the practitioner who ow on the basis of the information supplied by the and information in the practitioner's file, all of been reviewed by the practitioner.  Respectfully submitted
Date: Nov	ember 15, 2004  SIGNATURE OF PRACTITIONER

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

				U.S. Pa	itent and Trademark Office; U.S. D		
Substitute for	form 1449	A/PTO			Complete if		10wn
					olication Number		/672,719
INFORM	MATI(	ON DISCLOSURE	C	L	ng Date		ptember 25, 2003
STATEMENT BY APPLICANT			Firs	t Named Inventor		AWLOR, S. et al	
					Unit		48
71 4	- 6				miner Name	N/	A P1-3014-U
Sheet: 1	of	<u>6</u>	S. D. A. (TOLEN LINE)		orney Docket Number	KI	71-3014-0
	1	U.3	S. PATENT	DOC	UMENIS		
EXAMINER INITIALS*	Cite No. 1	DOCUMENT NUMBER Number Kind Code <sup>2</sup>	Publication Date MM-DD-YY		NAME OF PATENTEE OR APPLICANT OF CITED DOCUME	ENT	PAGES , COLUMNS, LINES, WHERE RELEVANT PASSAGE OR RELEVANT FIGURES APPEAR
		2435236	02/03/194	48	Redding		
		2570081	10/02/19:	51	Szczeniowski		
		2579049	12/18/19:	51	Price		
		2623688	12/30/19:	52 .	Davidson		
		2628768	02/17/19:	53	Kantrowitz		
		2648493	08/11/195	53	Stalker		
		2659528	11/17/19:	53	Price		
		2689681	09/21/19:	54	Sabatiuk		
		2710136	06/07/195	55	De Ganahl, et al		
		2721693	10/25/195	55	Fabri, et al		
		2749027	06/05/195	56	Stalker		
		2763426	09/18/19:	56	Erwin		
		2792983	05/21/195	57	Stalker		
		2797858	07/02/195	57	Von Der Nuell		
		2805818	09/10/195	57	Ferri		
		2806645	09/17/195	57	Stalker		
		2819837	01/14/195	58	Loeb		
		2830753	04/15/195	58	Stalker		
•		2834573	05/13/195	58	Stalker		

EXAMINER	DATE	
SIGNATURE	CONSIDERED	
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EXAMINER\*: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SEND COMPLETED FORMS TO: Commissioner for Patents, Alexandria, VA 22313-1450

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two letter code. <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

Substitute for form 1449 A/PTO	Complete i	f Known
	Application Number	10/672,719
INFORMATION DISCLOSURE	Filing Date	September 25, 2003
STATEMENT BY APPLICANT	First Named Inventor	LAWLOR, S. et al
	Art Unit	3748
	Examiner Name	N/A
Sheet: 2 of 6	Attorney Docket Number	RP1-3014-U

<u> </u>	01	U	.S. PATENT DOC	UMENTS	
EXAMINER INITIALS*	Cite No. 1	DOCUMENT NUMBER Number Kind Code <sup>2</sup>	Publication Date MM-DD-YYYY	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT	PAGES, COLUMNS, LINES, WHERE RELEVANT PASSAGES OR RELEVANT FIGURES APPEAR
		2839239	06/17/1958	Stalker	
		2841325	07/01/1958	Weise	
		2853227	09/23/1958	Beardsley	
		2918254	12/22/1959	Hausammann	
		2934259	04/26/1960	Hausmann	
		2935246	05/03/1960	Roy	
		2943839	07/05/1960	Birmann	
		2944786	07/12/1960	Angell, et al	
		2947139	08/02/1960	Hausmann	
		2949224	08/16/1960	Pavlecka	
• • •		2953295	09/20/1960	Stalker	
		2955747	10/11/1960	Schwaar	
		2956732	10/18/1960	Stalker	
		2966028	12/27/1960	Johnson, et al	
		2967013	01/03/1961	Dallenbach, et al	`
·		2970750	02/07/1961	Swearingen	
		2974857	03/14/1961	Schwaar	
		2974858	03/14/1961	Koffel, et al	
		2974927	03/14/1961	Johnson	
		2989843	06/27/1961	Ferri	
		2991929	07/11/1961	Stalker	
		3001364	09/26/1961	Woodworth	
		3010642	11/28/1961	Dickmann, et al	

EXAMINER	DATE	
SIGNATURE	CONSIDERED	

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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two letter code. <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449 A/PTO	Complete i	f Known
	Application Number	10/672,719
INFORMATION DISCLOSURE	Filing Date	September 25, 2003
STATEMENT BY APPLICANT	First Named Inventor	LAWLOR, S. et al
	Art Unit	3748
	Examiner Name	N/A
Sheet: <u>3</u> of <u>6</u>	Attorney Docket Number	RP1-3014-U

	U.S. PATENT DOCUMENTS						
EXAMINER INITIALS*	Cite No. 1	DOCUMENT NUMBER Number Kind Code <sup>2</sup>	Publication Date MM-DD-YYYY	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT	PAGES , COLUMNS, LINES, WHERE RELEVANT PASSAGES OR RELEVANT FIGURES APPEAR		
		3054255	09/18/1962	Stratford			
		3088279	05/07/1963	Diedrich			
		3118277	01/21/1964	Wormser			
		3145915	08/25/1964	Marchal, et al			
		3156407	11/10/1964	Bourquard			
		3184152	05/18/1965	Bourquard			
		3269120	08/30/1966	Sabatiuk			
		3356289	12/05/1967	Plotkowiak			
		3422625	01/21/1969	Harris			
		3442441	05/06/1969	Dettmering			
		3447740	06/03/1969	Fabri, et al			
		3524458	08/18/1970	Goldsmith			
		3541790	11/24/1970	Kellett			
		3588270	06/28/1971	Boelcs			
		3658437	04/25/1972	Soo			
		3692425	09/19/1972	Erwin			
		3719426	03/06/1973	Friberg			
		3724968	04/03/1973	Friberg, et al			
		3765792	10/16/1973	Exley			
		3771925	11/13/1973	Friberg, et al			
		3824029	07/16/1974	Fabri, et al			
		3873229	03/25/1975	Mikolajczak, et al			

EXAMINER SIGNATURE	DATE CONSIDERED	
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Substitute for form 1449 A/PTO	Complete i	f Known
	Application Number	10/672,719
INFORMATION DISCLOSURE	Filing Date	September 25, 2003
STATEMENT BY APPLICANT	First Named Inventor	LAWLOR, S. et al
	Art Unit	3748
	Examiner Name	N/A
Sheet: <u>4</u> of <u>6</u>	Attorney Docket Number	RP1-3014-U

	U.S. PATENT DOCUMENTS					
EXAMINER INITIALS*	Cite No. 1	DOCUMENT NUMBER Number Kind Code <sup>2</sup>	Publication Date MM-DD-YYYY	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT	PAGES , COLUMNS, LINES, WHERE RELEVANT PASSAGE OR RELEVANT FIGURES APPEAR	
		3904308	09/09/1975	Ribaud		
		3904312	09/09/1975	Exley		
·		3917434	11/04/1975	Bandukwalla		
		3956887	05/18/1976	MacDonald		
-		3971209	07/27/1976	de Chair		
		3989406	11/02/1976	Bliss		
		3993414	11/23/1976	Meauze, et al		
		RE29128	02/01/1977	Sohre		
		4006997	02/08/1977	Friberg, et al		
		4011028	03/08/1977	Borsuk		
		4012165	03/15/1977	Kraig		
		4012166	03/15/1977	Kaesser, et al		
		4070824	01/31/1978	Traut		
		4123196	10/31/1978	Prince, Jr. et al		
·		4156344	05/29/1979	Cuthbertson, et al		
		4199296	04/22/1980	De Chair		
		4212585	07/15/1980	Swarden, et al		
		4241576	12/30/1980	Gertz		
		RE30720	08/25/1981	Sohre		
		4408957	10/11/1983	Kurzrock, et al		
		4445816	05/01/1984	Ribaud, et al		
		4479755	10/30/1984	Skoe		
		4678398	07/07/1987	Dodge, et al		

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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two letter code. <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

I I O/SD/OSA (IO-OI)

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	U.S. Patent and Trademark Office; U.S.	. DEPARTMENT OF COMMERCE		
Substitute for form 1449 A/PTO	Complete if Known			
	Application Number	10/672,719		
INFORMATION DISCLOSURE	Filing Date	September 25, 2003		
STATEMENT BY APPLICANT	First Named Inventor	LAWLOR, S. et al		
	Art Unit	3748		
	Examiner Name	N/A		
Sheet: <u>5</u> of <u>6</u>	Attorney Docket Number	RP1-3014-U		
II S PAT	ENT DOCUMENTS			

		U	.S. PATENT DOC	UMENTS	
EXAMINER INITIALS*	Cite No. 1	DOCUMENT NUMBER Number Kind Code <sup>2</sup>	Publication Date MM-DD-YYYY	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT	PAGES , COLUMNS, LINES, WHERE RELEVANT PASSAGES OR RELEVANT FIGURES APPEAR
		5123811	06/23//1992	Kuroiwa	
		5277549	01/11/1994	Chen, et al	
		5286162	02/15/1994	Veres	
		5445496	08/29/1995	Brasz	
		5554000	09/10/1996	Katoh, et al	
		5676522	10/14/1977	Pommel, et al	
		5704764	01/06/1998	Chupp, et al	
		5709076	01/20/1998	Lawlor	
		5782079	07/21/1998	Chiang, et al	
		6017186	01/25/2000	Hoeger, et al	
		6263660B1	07/24/2001	Lawlor	
		6279309B1	08/28/2001	Lawlor, et al	
		►6298653B1	10/09/2001	Lawlor, et al	
		►6334299B1	01/01/2002	Lawlor	
		►2002/0073714A1	06/20/2002	Yim, et al	
		►6434924B1	08/20/2002	Lawlor	
		►6446425B1	09/10/2002	Lawlor	
		►6488469B1	12/03/2002	Youssef, et al	
		▶6507125B1	01/14/2003	Choi	
		►RE38040E	03/18/2003	Spear, et al	
		▶6682301B2	01/27/2004	Kuhne	
		▶6694743B2	02/24/2004	Lawlor, et al	
EXAMINER SIGNATURE	•			DATE CONSIDERED	

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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two letter code. <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

<sup>▶\*\*</sup>Documents which are disclosed that, based on date of publication, are or may not be prior art to the applicant are merely offered for consideration with respect to the state of development of the art, and shall in no way be considered as admissions against interest in any manner.

PTO/SB/08A (10-01) +

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Substitute 1	for form 14	49 A/PTO			Complete	if Known	COM	VILICI
				Applie	cation Number	10/672,719		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Filing Date First Named Inventor			September 25, 2003  LAWLOR, S. et al		
					LAWLOR, S			
			Art Unit		3748	3748		
١				Exami	iner Name	N/A		
Sheet:	<u>6</u> of	6		Attorney Docket Number		RP1-3014-U	RP1-3014-U	
		FOREIGN	PATEN	NT DOC	CUMENTS			
EXAMINER INITIALS	Cite No.	FOREIGN PATENT DOCUMENT Office <sup>3</sup> Number <sup>4</sup> Kind <sup>5</sup>	I	lication Date DD-YYYY	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT	PAGES, COLUM LINES, WHERE RELEVANT PASSAGES OR RELEVANT FIGU APPEAR	,	T <sup>6</sup>
		FR863484 (France)	01/02	/1941	BOZIC	-		
				/1951	VADOLT TRUST			
				/1990	KUROIWA			-
								_
		OTHER PRIOR ART - NON	PATE	NT LIT	ERATURE DOCUME	NTS		
EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of author (in Caappropriate), title of the item etc.) date, page(s), volume-issupublished	(book,	magazin	ie, journal serial, symp	osium, catalog.	T <sup>2</sup>	
EXAMINER SIGNATURE					DATE CONSIDERED			

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